

WIOA Desk Reference

ETP Performance Reporting Waiver

WHAT IS THIS WAIVER?

WIOA Sections 116 and 122 and 20 CFR 677.230 and 20 CFR 680.400 through 680.530 require eligible training providers (ETPs) to collect and report performance data for all students (including both WIOA-funded and non-WIOA-funded students) for each program of study on the ETP list. A waiver of these requirements allows the state to collect and report data on WIOA-funded students only. Under this waiver, the state is responsible for devising an alternative methodology for considering the performance outcomes for all students for the purposes of continued provider eligibility.

HOW HAVE STATES USED THIS WAIVER?

The primary purpose of the waiver is to ease the reporting burden on states while they work to get their systems and processes in place to report on all students. By granting states temporary flexibility with the “all students” reporting requirement, states were enabled to spend more time developing and implementing systems and processes necessary to collect required ETP performance information on “all students” served by an ETP.

Examples of activities that states conducted toward this purpose include: updating systems, developing data sharing agreements and memorandums of understanding, and developing processes and procedures to collect this information in a way that is thoughtful and minimally burdensome, enabling them to generate WIOA compliant reports when they no longer need the waiver.

WHAT ARE THE REPORTING REQUIREMENTS ASSOCIATED WITH THIS WAIVER?

ANNUAL REPORT NARRATIVE

Waiver recipients are required to describe the results and outcomes of the waivers that they have had for longer than one year. This requirement applies to future annual narrative reports, even if the waiver ended during the PY for the annual narrative being reported. This means that all states that have had this waiver since before July 1, 2020 will be required to report out on the outcomes of the waiver in the PY 2020 annual narrative reports when they are due in December of 2021.

ETP PERFORMANCE REPORTS

While recipients of this waiver do not have to report data on individuals who are not WIOA participants, at a minimum these recipients must still report the corresponding outcomes for WIOA participants in the “all students” fields in the ETP Performance Report (ETA-9171). For example, if a program in a waiver state serves 20 students, and 8 of them are WIOA participants, at a minimum they must report an “8” in ETA-9171 element number 120, “Total Number of Individuals Served.”

HOW LONG WILL ETA CONTINUE TO GRANT THIS WAIVER?

All currently approved versions of this waiver are set to expire on June 30, 2021. ETA does not plan to approve requests to renew this waiver going forward.

