This Performance Reporting Reference Guide is designed for National Dislocated Worker Grant (DWG) Grantees to have all the resources that they need related to Quarterly Performance Reporting.
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**Introduction**

National Dislocated Worker Grants (DWGs), authorized by the Workforce Innovation and Opportunity Act (WIOA), provide employment and training services for dislocated workers. Dislocated Worker Grants assist individuals affected by layoffs, dislocated service members, trade-impacted workers, and individuals affected by emergencies and disasters. DWG funds are intended to temporarily expand the public workforce development system’s capacity to serve dislocated workers. In the case of the Emergency/Disaster DWG, funding may also be used to create temporary employment opportunities to assist with clean-up and recovery efforts.

Collection of accurate performance data is a key component of the Employment and Training Administration’s (ETA) ability to assess the success of DWGs along with other WIOA programs. The data gathered on program activities, participants, and outcomes are used to convey return on investment information to policymakers and stakeholders, as well as for program management. This DWG performance reference guide highlights essential areas of performance accountability and reporting requirements for all DWG grantees.
New Grantee Dislocated Worker Grant Performance Reporting Checklist

This checklist can help new grantees prepare for their grant reporting requirements. Grantees can find additional information on each item throughout this guide. There are links to more detailed information as well as links to the report systems.

- Find and review the Performance Reports section of your grant agreement’s Terms and Conditions, Administrative Requirements. Note the required performance reports and reporting deadlines.
- Review TEGL 14-18 and Attachment 6 for DWG-specific performance reporting guidance.
- Establish or confirm access to the Workforce Integrated Performance System (WIPS).
- Become familiar with the WIOA DOL-only PIRL.
- Become familiar with the State Integrated Reporting Schema (SIRS) to prepare quarterly performance data to upload to WIPS.
- Find your state’s performance goals in the approved WIOA State Plan at rsa.ed.gov or through the Regional Administrator letter sent to states regarding final negotiated levels of performance.
- Get access to the ETA’s Financial Reporting System for filing the quarterly ETA 9130 Financial Reports.
- Note your Federal Project Officer’s contact information in the grant agreement.
- Bookmark the WIPS helpdesk webpage for technical assistance.
- Find your grant number for reporting PIRL data element 2003 at the DWG Grant Awards webpage. For National Health Emergency (NHE) DWGs, visit the NHE DWG Grant Awards webpage.

General Reporting Requirements

National Dislocated Worker Grant Recipients must report quantitative and qualitative performance data on a quarterly basis throughout the life of the grant. In this section we will discuss the Primary Indicators of Performance for DWGs, Quarterly Performance Reports (QPRs), Quarterly Narrative Reports (QNRs), and the due dates for all performance reporting.

All grantees should review their grant agreement for details related to specific reporting requirements; however, the information in this guide is required for all DWGs.
Primary Indicators of Performance

**WIOA Section 116(b)(2)(A)** establishes six primary indicators of performance. Grantees of the National Dislocated Worker Grant Program are required to report performance measures in accordance with WIOA section 116. These performance indicators apply to DWG grantees as summarized below.

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Employment Rate – 2(^{nd}) Quarter After Exit</td>
<td>Percentage of participants who are in unsubsidized employment during the second quarter after exit.</td>
</tr>
<tr>
<td>Employment Rate – 4(^{th}) Quarter After Exit</td>
<td>Percentage of participants who are in unsubsidized employment during the fourth quarter after exit.</td>
</tr>
<tr>
<td>Median Earnings – 2(^{nd}) Quarter After Exit</td>
<td>Median earnings of participants who are in unsubsidized employment during the second quarter after exit.</td>
</tr>
<tr>
<td>Credential Attainment</td>
<td>Percentage of participants enrolled in an education or training program (excluding those in on-the-job training and customized training) who attain a recognized postsecondary credential or a secondary school diploma during participation or within one year after exit.</td>
</tr>
<tr>
<td>Measurable Skill Gains</td>
<td>Percentage of participants during a program year who are in an education or training program that leads to a recognized postsecondary credential or employment and who are achieving measurable skill gains.</td>
</tr>
<tr>
<td>Effectiveness in Serving Employers</td>
<td>Retention with the same employer in the 2nd and 4th quarter after exit, per <strong>TEGL 14-18, Attachment 6</strong>.</td>
</tr>
</tbody>
</table>

The requirement that the six primary indicators of performance apply to the DWG program extends to all types of DWG grantees, regardless of the type of entity that is the grantee; including states, local areas, Indian and Native American tribes, and non-profit organizations. Any exceptions to the WIOA primary indicators will be noted in grant approval documents. For information on how to connect to UI wage records to verify employment for individual participants, please see **Appendix A: Performance and UI Wage Records**.

DWGs performance reporting requirements include the same performance indicators as WIOA core programs, DWG grant applications may propose additional goals. The negotiated State performance goals for the Dislocated Worker formula program apply to each DWG in a state unless applicants request additional considerations in setting performance targets for an individual grant. Native American Program section 166 applicants should use goals established for that program as the basis for DWG performance targets. Any performance goals approved in the DWG application also serve as final performance targets.
Definition of Terms

Below are some notes on how to define key terms in the indicators, as described in TEGL 14-18: Aligning Performance Accountability Reporting, Definitions, and Policies Across Workforce Employment and Training Programs Administered by the U.S. Department of Labor (DOL). The purpose of TEGL 14-18 is to align performance among training programs with each other and with performance as described in the Workforce Investment and Opportunity Act. The requirements for DWG are specifically outlined in Attachment 6 of TEGL 14-18. Note that there are two categories of enrollment that must be reported.

Reportable Individual

A reportable individual in a DWG program has taken action that demonstrates an intent to use program services and who meets specific reporting criteria in the program including:

1. Individuals who provide identifying information;
2. Individuals who only use the self-service system; or
3. Individuals who only receive information-only services or activities that do not require an assessment by a staff member of the individual’s skills, education, or career objectives.

Note that DWG reportable individuals may participate in an intake process or receive an eligibility determination (e.g. a Disaster DWG project).

Reportable individuals do not count towards performance indicator outcomes for the DWG program because only participants are included in the performance indicators. However, grantees must still collect the required data elements with respect to reportable individuals found in the PIRL (the PIRL can be found on DOLETA’s Performance Reporting webpage).

Participant

A DWG program participant is a reportable individual who:

1. Has received either an employment or training service or disaster relief employment, funded in whole or in part with DWG funds.
2. Has satisfied all applicable programmatic requirements for the provision of services, including an eligibility determination.

Specific criteria for Employment Recovery and Disaster Recovery DWGs include the following:

- For Employment Recovery DWGs, receipt of training services, individualized career services, and basic career services that are neither self-service nor information-only triggers inclusion as a participant.
- For Disaster Recovery DWGs, the services above or receipt of Disaster Relief Employment triggers inclusion as a participant.

1 See Section 7 of TEGL 14-18 for additional information.
The DWG program requires performance reporting on all participants in Disaster Relief Employment. See TEGL 14-18 Attachment 6 for additional details.

**Definition of Exit**

An individual is exited from the DWG program after 90 days without qualifying, participant-level services provided to the individual, and has no future participant-level services scheduled.

- When the DWG grant program is included within a state’s common exit policy, the date of exit is determined after 90 days without qualifying, participant-level services have elapsed, either through the DWG or DOL-funded WIOA core or partner program, and there are no future services scheduled. Follow-up services do not extend the date of exit.

- When the DWG grant program is **not** included within a state’s common exit policy, the date of exit is determined after 90 days of no qualifying, participant-level services have elapsed through the DWG, and no future or planned services are scheduled. The date of program exit is applied retroactively to the last date of service.

DWG grantees must align their exit policies with the state’s common exit policies. For states that include the DWG program in common exit policies, DWG participants exit on the last date of service from all services in the common exit policy.
DWG Reports

DWG recipients must submit Quarterly Performance Reports (QPR) and Quarterly Narrative Reports (QNR) in accordance with TEGL 14-18. The requirements for DWG are specifically outlined in Attachment 6 of TEGL 14-18. Additional resources on DWG performance are available on the DWG performance page.

List of Performance Reports for DWGs (details are provided below):

<table>
<thead>
<tr>
<th>Report</th>
<th>Description</th>
<th>Purpose</th>
<th>Method of Submission</th>
</tr>
</thead>
<tbody>
<tr>
<td>PIRL – ETA 9172</td>
<td>Individual Participant files</td>
<td>To collect participant data</td>
<td>Grantee uploads file to WIPS</td>
</tr>
<tr>
<td>QPR – ETA 9173</td>
<td>Rollup of aggregate PIRL file data</td>
<td>To review and assess grantee performance</td>
<td>Auto-generated by WIPS from the PIRL file (certified by state)</td>
</tr>
<tr>
<td>QNR – ETA 9179</td>
<td>Narrative Report</td>
<td>Details successes and grant activities</td>
<td>Grantee completes form in WIPS (can include attachments, e.g. PDF)</td>
</tr>
</tbody>
</table>

**QPRs – ETA 9173**

ETA’s National and Regional Offices use information on the QPR to assess whether grantees are serving participants and expending funds as described in the grant applications and grant award agreements. Information from the QPR also helps ETA assess progress toward grant goals and to identify technical assistance needs.

The ETA 9173 is the OMB-approved template for DOL’s WIOA Quarterly Performance Reports (QPRs). The report includes performance data that shows participant characteristics, services received, primary indicators of performance, and specifications for all of the elements in the report. This report must be certified in WIPS in order for grantees to submit their performance data to DOL.

A DWG QPR is an aggregated report of quarterly performance outcomes. The QPR is generated through participant-level data files that are uploaded in WIPS, creating a snapshot of the grant’s participants, services, and outcomes. The DWG QPR is submitted via the PIRL (ETA 9172 report described below) in WIPS (see below for more information). Grantees must certify a QPR within 45 days after the end of each calendar quarter.

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2 All DWG grant recipients are required to report quarterly financial data on the ETA 9130(G) financial report for DWGs. Additional information can be found on ETA’s Financial Reporting page.
PIRL – ETA 9172: Key Data Elements for DWG

Below is an explanation of certain PIRL data elements specifically required for DWG program participants. These descriptions are a summary of PIRL definitions. Grantees should refer to the approved PIRL, ETA 9172, for specific definitions. The PIRL is found on ETA’s Performance Page.

Note that the data elements described below are not the only required data elements required for DWG:

- **National Dislocated Worker Grant (PIRL 932)** – Grantees must complete data element 932 to track participants (code value 1) and reportable individuals (code value 2) in the DWG program.

- **DWG Grant Number (PIRL 2003)** – In order to report grant performance, grantees must enter the first seven alphanumeric digits of the grant number (without dashes) in PIRL data element 2003. Grantees can find the ETA-assigned grant number for data element 2003 in grant award documentation. Grant numbers are also included in the DWG project descriptions found on the [DWG Grant Awards webpage](https://www.dwd.gov/eta/dwg-award-summaries). National Health Emergency (NHE) DWG project descriptions are found on the [NHE DWG Grant Awards webpage](https://www.dwd.gov/eta/dwg-award-summaries). Note a full grant number will contain 13 or 14 characters, while the data element requires the first seven alphanumeric digits (e.g. EM12345).

- **Received Services through a Disaster Recovery Dislocated Worker Grant (PIRL 2004)** – This data element captures the types of services provided through a Disaster Recovery DWG. Different code values must be entered depending on the mix of service options the participant received:
  - Disaster Relief Employment Only.
  - Disaster Relief Employment and Employment and Training Services.
  - Employment and Training Services Only.

- **Date of Program Entry (PIRL 900)** – Grantees must enter the date in which the individual became a participant for any program service, in alignment with state co-enrollment and common exit strategies.

- **Date of Program Exit (PIRL 901)** – Grantees must enter the date of exit, in alignment with the state’s common exit policy.

- **Date of first DWG service (PIRL 933)** – Grantees must record the date of the first DWG service.

- **Date of Completion of DWG Services (PIRL 2001)** – Grantees must record the date of the last DWG service.

- **Employed at Completion of DWG Services (PIRL 2002)** – Grantees must record PIRL data element 2002. This data element captures employment status at completion of the DWG-funded services and is important to ensure there is a way to measure how effective the DWG funds are. In order to verify employment for this data element, DWG grantees may follow the supplemental data policies.

- **Rapid Response (PIRL 908)** – If the DWG participant also participated in rapid response activities, grantees must indicate this in PIRL 908.

- **Adult (903), Dislocated Worker (PIRL 904)** – In cases where a DWG participant is co-enrolled in the Adult or Dislocated Worker programs, DWG grantees must include such participants in the applicable program of co-enrollment (in addition to PIRL 932).
TAA Petition Number (PIRL 915) – In cases where a DWG participant is co-enrolled in the TAA program, DWG grantees must record the TAA petition number of the certification which applies to the participants group (in addition to PIRL 932).

QNRs – ETA 9179

The Quarterly Narrative Report (QNR) is a written report that reflects grant program activities that complement the quantitative data reported on the QPR. Grantees can also report information that is not reflected on the QPR, and identify technical assistance needs and/or grant program highlights from the latest reporting quarter. Grantees are required to use the OMB-approved QNR template. DWG grantees are required to submit QNRs using the ETA-9179 Joint Quarterly Narrative Report Template (OMB Control No. 1205-0448). The QNR template (ETA 9179) describes the required report components. Grantees submit the Quarterly Narrative Report within 45 days following the end of the previous calendar quarter through the Workforce Integrated Performance System (WIPS), including the information described below.

If the grantee has not begun to enroll individuals in the DWG, the QNR submissions page in WIPS contains a “Check Box” where this may be indicated. By clicking the check box, the grantee informs ETA that there are not going to be participants reported during the quarter. If grantees use the check box, the QNR must contain an explanation as to why participant enrollment is delayed.

Below is a list and description of the elements in the ETA 9179 report.

- **Section I: Contact Information** – This section includes contact information for the grant, including grant number, grant recipient name, grant project name, grant project address, grant period of performance, and the grant point of contact name and contact information.

- **Section II: Summary of Grant Progress** – This section is a summary of all grant activities, including planned and actual progress. Grant activities should be aligned with the goals and objectives outlined in the grant Statement of Work and the ETA-approved work plan or timeline, or additional milestones and objects that are identified in the Project Implementation Plan submitted for each DWG to regional offices. The update may include additional information about service and training activities and outcomes to supplement the data submitted in the Quarterly Performance Report.

- **Section III: Progress of Grant Timeline** – This section provides any updates for the progress of the ETA approved grant work plan or timeline, including key milestones and the timeline associated with the DWG Project Implementation Plan, and program activities, key deliverables and products available this quarter and in future quarters for broad dissemination to the workforce system, if applicable. This includes identifying products and deliverables available for broad distribution via ETA-hosted web sites and other communication vehicles.

- **Section IV: Development and Implementation of Effective Practices and Program Model Strategies** – This section describes how the program model is working towards/has realized the program’s intended purpose as well as the goals/objectives and activities outlined in your grant application and work plan.

- **Section V: Status update on Match and/or Leveraged Resources** – This section of the narrative report is for grantees to provide an update on the status of all match and/or leveraged resources, if applicable to the grant.
Section VI: Status Update on Strategic Partnership Activities – The purpose of this section is to describe how the partnership is working together to implement the project. Examples of DWG partnerships may include state and local cross-agency partnerships, coordination with state emergency management agencies, partnerships through labor-management committees, coordination with the federal Department of Defense, and/or activities that leverage additional resources such as on-going Rapid Response (RR) activities and layoff aversion activities. This section should reflect the results and their impact on the project.

Section VII: Status Update on Employer Engagement Strategies – A key element of WIOA and affiliated workforce programs is to strengthen employer engagement in the workforce system and to ensure employers have an active role in workforce system activities. The purpose of this section is to share information related to promising practices and strategies that have strengthened existing employer partnerships.

For DWG, grantees should also describe how employer engagement contributed to reemployment efforts aligned with grant activities, as well as how partnerships with employers impacted by layoffs are facilitated through Rapid Response units and the use of layoff aversion strategies.

Grantees should report the efforts that have been undertaken to receive feedback from local area employers to identify their employee pipeline needs and engage local employers to interview, assess, train, and/or hire program participants.

Section VIII: Key Issues and Technical Assistance Needs – This section is used to summarize significant opportunities, issues, or challenges encountered during the quarter and any resolution of issues and challenges identified in previous quarters. Furthermore, describe actions taken or plans to address issues.

Section IX: Significant Activities, Accomplishments, and Success Stories – This section is intended to provide additional, more in-depth information than the summary section about promising approaches, new processes, and/or lessons learned.

Section X: Evidence and Evaluation – This section is intended to provide information to DOL on how evidence and evaluations are being developed and applied. This information may help DOL to plan for future evaluation needs.

Section XI: Additional Information – This section is used for the grantee to provide other grant-specific information considered to be important, yet not captured in other sections of the quarterly narrative report.

Note on Financial Reports – ETA 9130

All DWG grant recipients are required to report quarterly financial data on the ETA 9130(G) financial report for DWGs. The quarterly financial data reports (ETA 9130(G)) are submitted via ETA’s Financial Reporting System within 45 days following the end of the calendar quarter. Additional information on financial reporting can be found at ETA’s Financial Reporting page.

Reminder – The ETA 9130(G) report for DWGs requires reporting on expenditures related to the training of participants (11b). Those DWGs that provide training services must report them on the 11b line of the 9130(G). Additionally, any expenditures related to transitional jobs must be reported on line 11c of the 9130(G) report.
**DWG Report Due Dates**

*Quarterly Reports* are due to DOL-ETA **no later than 45 days** after the end of each reporting quarter. The table below provides the due dates for each reporting quarter. Reports not submitted by the due date will be considered late and not in compliance with grant requirements. If the due date falls on a weekend or holiday, the report will be due on the next business day.

Quarterly Progress Report Deadlines:

<table>
<thead>
<tr>
<th>Quarter Start Date</th>
<th>Quarter End Date</th>
<th>Report Submission Due Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>January 1</td>
<td>March 31</td>
<td>May 15</td>
</tr>
<tr>
<td>April 1</td>
<td>June 30</td>
<td>August 14</td>
</tr>
<tr>
<td>July 1</td>
<td>September 30</td>
<td>November 14</td>
</tr>
<tr>
<td>October 1</td>
<td>December 31</td>
<td>February 14</td>
</tr>
</tbody>
</table>
WIPS Instructions

Recipients must submit grantee performance reports through the Workforce Integrated Performance System (WIPS). WIPS is an online, password-protected system that allows grantees to:

1. Upload a data file
2. Verify that data is correct using a series of logic and validation checks
3. Generate and certify a DWG QPR
4. View and add comments to a DWG QPR

Setting up a WIPS account

To establish a new user account in WIPS, recipients must follow these steps:

1. Visit the WIPS resource webpage.
2. Select “WIPS Technical Assistance Request.”
3. Check “DWG” from the program checkbox menu.
4. Enter the DWG grant number the report request is for.
5. Complete all required fields of the WIPS Technical Assistance Request template.
6. Click “Submit.”

Grantees must request reporting permissions for the DWG program and its narrative reports for each new grant award. See the QNR section below for more detail.

Reporting in WIPS

QPR

A DWG QPR is an aggregated report of quarterly performance outcomes. The QPR is an aggregation of quarterly performance measures and the WIOA primary indicators of performance. The QPR is generated through participant-level data files that are uploaded in WIPS and creates a snapshot of the grant’s participants, services, and outcomes.

Recipients submit the QPR by uploading their participant record file in WIPS. The participant-level data file includes information on all individuals served from the start of the grant through the most current reporting quarter. Note that on the file upload screen under Schema Name, DWG recipients may select “State Integrated Record Schema (SIRS)” from the drop-down menu. Under “Target Program,” select “National Dislocated Worker Grants” from the drop-down menu.

After a grantee successfully uploads the participant-level data file into WIPS, the system will generate an aggregate statewide QPR and individual grantee reports that can be viewed and downloaded. The grantee can indicate that the report is final by selecting the “Certify” button in WIPS on the statewide QPR. Certifying the
statewide report indicates that the grantee’s authorized representative certifies that the data submitted is complete, true, and accurate to the best of his or her knowledge. See Appendix B for a list of steps and screenshots to upload the ETA 9172 (PIRL) file into WIPS, Appendix C for a list of steps and screenshots to correct error reports and Appendix D for a list of steps and screenshots to review and certify the QPR in WIPS.

**PIRL – ETA 9172**

DWG program grantees submit quarterly PIRL data files for performance accountability. The PIRL is the reporting layout defined in form ETA-9172. PIRL data elements specifically required for DWG program are noted in the column labeled "DWG", then notated with "R" next to each item (See the current PIRL).

DWG QPRs are then generated using the rows of individual participant records submitted to WIPS in the PIRL file, where columns of data elements are separated by commas. Grantees should format their participant data according to the SIRS or full PIRL schema (located under “Schema for the WIPS”) using a comma-delimited (csv) format. WIPS also accepts .txt and .zip file formats.

Grantees must submit an individual record file quarterly on all participants and exiters. The PIRL contains over 400 data elements, but not every element is required for each individual program.

- DWG grantees use the SIRS or full PIRL reporting schema to submit data files. Download the schema on the WIPS webpage under the category “Schema for the WIPS” and click on the State Integrated Reporting Schema link in the index tab of the workbook to view the columns that pertain to DWG performance reporting.
  - Note that this document primarily refers to the SIRS schema for DWG. Grantees are encouraged to utilize the SIRs schema, but the “Full PIRL” schema continues to be an option for file submission.
  - Note that WIPS submissions for SIRS programs, including DWGs, are locked 45 days after the reporting deadline (90 days after end of the quarter). After this point, data cannot be changed or updated.
  - Also note that states may opt to submit a combined file using the SIR schema with one or more programs included in the file. In this case, DWG participant and reportable individual records would be included as a part of the single file upload.

- Programs that can be reported using the SIR schema include DWG, WIOA Adult, Dislocated Worker, Wagner-Peyser Act Employment Service, Jobs for Veterans State Grants (JVSG), and Trade Adjustment Assistance (TAA).

- States can report on programs using the SIR schema through separate files for each program, but are encouraged to integrate their reporting of these programs. Integrating the reporting of these programs allows for a greater alignment and coordination across these programs and enables a more accurate accounting of the services provided to co-enrolled individuals.

- DWG grantees will certify the WIPS-generated ETA 9173 Quarterly Performance Report after successful submission of the PIRL file without errors. In states that have more than one DWG, the data certification is for all DWGs awarded to the state. During the certification process, you may display each individual DWG in the state by clicking the “DWG Grantee Report” button.
After submission, the QPR for the state displays in the ETA 9173 DWG QPR format. There is also a separate report for each grantee, which may be viewed by clicking the “DWG Grantee Report” button.

To view a DWG Grantee report, click on the link in the upper right hand corner “DWG Grantee Report.”

Using the drop down pick out the specific grant from the state, which are listed by grant number.

**QNR**

Each grant program will enter text of the QNR directly into the WIPS. The online form is identical to the OMB approved Joint Quarterly Narrative Performance Report Template (ETA 9179) described above.

In order to activate the QNR feature in WIPS, grantees must request QNR reporting permissions for each new grant award by following these steps:

1. Visit the [WIPS resource webpage](#).
2. Select “WIPS Technical Assistance Request.”
3. Check “DWG” from the program checkbox menu.
4. Enter the DWG grant number the report request is for.
5. Complete all required fields of the WIPS Technical Assistance Request template.
6. Under “Description,” enter a request for **QNR reporting permission for that DWG**.
7. Click “Submit.”

Grantees should complete the form using the fields provided in WIPS. Once a grantee has completed the QNR and has verified that the information is accurate, they select the “Certify” button on the QNR within 45 days following the end of the calendar quarter. Certifying the report indicates that the grantee’s authorized representative certifies that the data submitted is complete, true, and accurate to the best of his or her knowledge.

The final QNR is due 45 days after the end of the final report quarter for the grant. This report serves as the final QNR and must summarize the successes and/or challenges in delivering services to the target population, as well as address the topics of sustainability, replicability, and lessons learned.
Resources

**DWG Reporting**
- The [DWG Performance and Reporting site](#) houses information related to DWG reporting.
- See [TEGL 14-18](#): Aligning Performance Accountability Reporting, Definitions, and Policies Across Workforce Employment and Training Programs Administered by the U.S. Department of Labor (DOL) and [Attachment 6 (DWG-specific)](#) for DWG-specific information.

**ETA Grantee Reporting**
- ETA’s [Performance Reporting webpage](#) houses information related to performance data.
- ETA’s [Performance Reporting Community of Practice](#) website includes performance reporting information, technical assistance, and e-Learning modules.
- ETA’s [Innovation and Opportunity Network](#) Community of Practice is designed to support state and local workforce development boards, system professionals, and employers, find the resources needed to successfully implement the vision of the Workforce Innovation and Opportunity Act (WIOA).

**PIRL Specifics**
- [Reportable Individual Resource Page](#) on the Performance Reporting Community of Practice.
- More PIRL info on the [Amended PIRL Page](#) and the [Amended ICR Implementation Resource Page](#).

**WIPS**
- The following user demonstration reviews system functionality from a grantee’s perspective.
  - [User Demo Recording April 5, 2017](#)
  - [WIPS User Demonstration (PPT)](#)
- For additional WIPS technical assistance, visit ETA’s [WIPS Resources page](#).
Appendix A: Performance Results – UI Wage Records and Supplemental Information

DWG grantees must request participants’ Social Security numbers (SSNs). However, participants cannot be denied services if they choose to not disclose an SSN. SSNs are used for the purposes of matching an individual to their UI wage record. The UI wage record match provides information on the participant’s employment and earnings information for the purposes of performance accountability. Additionally, these records can provide information related to the industry and occupation of the individual’s employment (i.e. North American Industry Classification System (NAICS) and Occupational Information Network (O*NET) codes). If a participant does not provide their SSN, states may use supplemental wage information to report on exit-based performance indicators. For guidance on the appropriate use of supplemental wage information, refer to TEGL 14-18 Appendix VI and the DWG Attachment.

The requirements related to the use of SSNs for performance reporting differ depending on the DWG grantee’s type of entity. A list of the types of entities eligible to receive Employment Recovery DWGs and Disaster Recovery DWGs, may be found at 20 CFR 687.120. Below is a chart that explains how each kind of entity that receives a DWG is required to use SSNs and how to obtain the information needed to report on the exit-based performance indicators.

The exit-based performance indicators\(^3\) are as follows. Each of these indicators requires information about a participant’s employment status to carry out the reporting.

1. Employment Rate – 2nd Quarter After Exit,
2. Employment Rate – 4th Quarter After Exit,
3. Median Earnings – 2nd Quarter After Exit,
4. Credential Attainment (Note: Secondary School Diploma or Recognized Equivalent only count when the individual is employed in at least one of the four quarters after exit OR is enrolled in education or training at any point within 365 days after exit), and
5. Effectiveness in Serving Employers – 2nd and 4th Quarter Retention with the Same Employer.

<table>
<thead>
<tr>
<th>Type of Grantee</th>
<th>How to Obtain Information Needed to Report on Exit-Based Performance Indicators</th>
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</thead>
<tbody>
<tr>
<td>State or Consortium of States</td>
<td>Grantees that are states or a consortium of states are required to request participants’ SSNs, but these entities must not report SSNs to the Department. State grantees are able, consistent with state law, to access quarterly wage records to capture exit-based performance indicators (which all have an employment-related component) for participants that have exited the program. Therefore, state...</td>
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</tbody>
</table>

\(^3\) MSG and certain types of credential attainment are not included because they are not based on wage records.
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<td>DWG grantees must follow the same requirements with respect to the collection and use of SSNs that apply to the title I core programs when reporting on DWGs performance.</td>
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<tr>
<td>Grantees that are local workforce development boards (WDBs) or a consortium of local WDBs are required to request participants’ SSNs, but these entities must not report SSNs to the Department.</td>
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<tr>
<td>The chief elected official of a Local Area may enter into a data-sharing agreement that meets the requirements of <a href="#">20 CFR 603.10</a> with the State’s Unemployment Insurance (UI) Agency to obtain the individual-level wage and employment information about participants’ earnings in the State needed to report on the exit-based indicators.</td>
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</tr>
<tr>
<td>Some state agencies responsible for WIOA performance reporting (referred to as the State WIOA Performance Agency) may be willing to assist the Local Areas in obtaining the information needed to report on the exit-based indicators. Some WIOA Performance Agencies may have a <a href="#">20 CFR 603.10</a>-compliant agreement that permits the WIOA Performance Agency to obtain UI wage records from the state agency that maintains those records (State UI Agency) to assist the Local Area DWG grantees in carrying out their performance reporting. If the State WIOA Performance Agency has such an agreement, then it may use these UI wage records to help Local Area DWG grantees report on wage and employment outcomes. However, unless the WIOA Performance Agency’s data sharing agreement with the UI Agency permits it, the State WIOA Performance Agency may not share this individual-level information with the Local Area.</td>
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<tr>
<td>If one of these options will not work for the Local WDB or consortium of WDBs, they must use supplemental wage information to report on exit-based performance indicators. Supplemental information on exit-based measures and O*NET codes of employment may be gathered during follow up periods in addition to, or in lieu of, UI wage record matching. For more information on supplemental information, please refer to <a href="#">TEGL 26-16</a>.</td>
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<tr>
<td>While the Department is unable at this time to facilitate wage record matches on behalf of these grantees, the Department anticipates that these entities will be included in future agreements concerning UI wage record matching. Once an agreement concerning UI wage record matching is in place, the Department will provide aggregate information based on wage match records on behalf of grantees in order to capture exit-based employment outcomes for the performance indicators. The Department will calculate exit-based performance indicators on behalf of these grantees using the information included in each grantee’s WIPS quarterly participant-level data file submission.</td>
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<tr>
<td>Note that the grantee is responsible for tracking and reporting supplemental data, particularly for the Measurable Skill Gains and Credential Attainment (other than...</td>
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<tr>
<td><strong>Outlying Areas</strong></td>
<td>employment component of the Secondary School Diploma or Recognized Equivalent) performance indicators.</td>
</tr>
<tr>
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<td>Grantees that are outlying areas:</td>
</tr>
<tr>
<td></td>
<td>a. <strong>With DOL approved UI systems</strong> are required to request participants’ SSNs, but these entities must not report SSNs to the Department.</td>
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<td></td>
<td>These grantees may enter into a <a href="https://www.gpo.gov/fdsys/biblio?pubId=GAO-18-523">20 CFR 603.10</a> agreement with their state UI agency and receive wage records to report on exit-based performance indicators (which all have an employment-related component) for participants that have exited the program.</td>
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<td>These grantees may also use supplemental information to report on the exit-based indicators.</td>
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<td></td>
<td>b. <strong>That do not have a DOL approved UI system</strong> may request participants’ SSNs. Currenty, these entities must obtain the information needed to report on the exit-based indicators and O*NET codes through supplemental information.</td>
</tr>
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<td></td>
<td>While the Department is unable at this time to facilitate wage record matches on behalf of these grantees, the Department anticipates that these entities will be included in future agreements concerning UI wage record matching. Until such agreements are established, these grantees may only use supplemental wage information to capture exit-based employment outcomes for the performance indicators. Once an agreement concerning UI wage record matching is in place, the Department will provide aggregate information based on wage match records on behalf of these grantees in order to capture exit-based employment outcomes for performance reporting.</td>
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<td>The Department will calculate exit-based performance indicators on behalf of these grantees using the information included in each grantee’s WIPS quarterly participant-level data file submission.</td>
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<td>Note that the grantee is responsible for tracking and reporting supplemental data, particularly for the Measurable Skill Gains and Credential Attainment (other than employment component of the Secondary School Diploma or Recognized Equivalent) performance indicators.</td>
</tr>
<tr>
<td><strong>Entities described in WIOA section 166(c) and Indian tribal governments</strong></td>
<td>Grantees that are entities described in WIOA Section 166(c) and Indian tribal governments as defined under 42 U.S.C. 5122(6) must request participants’ SSNs and report them to the Department. Currently, these grantees may only use supplemental wage information to capture exit-based employment outcomes for the performance indicators.</td>
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<tr>
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<td>While the Department is unable at this time to facilitate wage record matches on behalf of these grantees, the Department anticipates that these entities will be included in future agreements concerning UI wage record matching. Until such agreements are established, these grantees may only use supplemental wage</td>
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<td>information to capture exit-based employment outcomes for the performance indicators. Once an agreement concerning UI wage record matching is in place, the Department will provide aggregate information based on wage match records on behalf of grantees in order to capture exit-based employment outcomes for the performance indicators in addition to the supplemental information reported by the grantees. The Department will calculate exit-based performance indicators on behalf of these grantees using the information included in each grantee’s WIPS quarterly participant-level data file submission. Note that the grantee is responsible for tracking and reporting supplemental data, particularly for the Measurable Skill Gains and Credential Attainment (other than employment component of the Secondary School Diploma or Recognized Equivalent) performance indicators.</td>
</tr>
<tr>
<td>Other eligible entities</td>
<td>For Employment Recovery DWGs, a Governor of an affected state or outlying area or the Secretary of Labor may determine that other entities not named in 20 CFR 687.120(a)(1-3) are eligible for these grants. These entities currently must use supplemental wage information to report on exit-based performance indicators. While the Department is unable at this time to facilitate wage record matches on behalf of these grantees, the Department anticipates that these entities will be included in future agreements concerning UI wage record matching. Until such agreements are established, these grantees may only use supplemental wage information to capture exit-based employment outcomes for the performance indicators. Once an agreement concerning UI wage record matching is in place, the Department will provide aggregate information based on wage match records on behalf of grantees in order to capture exit-based employment outcomes for the performance indicators in addition to the supplemental information reported by the grantees. The Department will calculate exit-based performance indicators on behalf of these grantees using the information included in each grantee’s WIPS quarterly participant-level data file submission. Note that the grantee is responsible for tracking and reporting supplemental data, particularly for the Measurable Skill Gains and Credential Attainment (other than employment component of the Secondary School Diploma or Recognized Equivalent) performance indicators.</td>
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For more information, please see Attachment 6 of TEGL 14-18: Aligning Performance Accountability Reporting, Definitions, and Policies Across Workforce Employment and Training Programs Administered by the U.S. Department of Labor (DOL).
Appendix B: Uploading ETA 9172 (PIRL) to WIPS

1. Login to WIPS.

2. From the WIPS main page, select “File Upload” tab.

3. Select the “Schema Name” from the drop-down box (DWG grantees must select “State Integrated Reporting Schema (SIRS)” or “Full PIRL”).
4. Select the “Target Program(s).”

5. Select the “Quarter End Date” from the drop-down list.
6. Choose the file by highlighting the file or drag and drop the file that needs to be uploaded.

7. Click the “Upload” button.

8. Click “Submit” to generate the DWG Report.

9. After submission, either:

10. “Certify” the report if there are no errors, and the data looks correct, or

11. Correct the errors that are preventing certification and resubmit. Then “Certify” the corrected report. See Appendix C for screenshots and tips in correcting errors in the DWG report.
Appendix C: Correcting Error Reports in WIPS

If there are errors in the report, follow the following steps after you have uploaded the file to WIPS:

1. Login to WIPS.
2. Select “Edit Checks Results” from the WIPS tab bar.
3. Select the “Quarter End Date” for which the user loaded data.
4. View the errors by selecting numeric hyperlinks under Total Errors.
5. After clicking the numeric hyperlink, the **Edit Check Error Summary** screen will be displayed. Clicking on “Element No.” hyperlink for selected Element will display the **Edit Check Error Details** for that element number.

6. Review the **Edit Check Error Details** for select Element and resolve as appropriate.
Appendix D: ETA 9173 (QPR) WIPS
Instructions – after upload of PIRL Data

1. Login to WIPS.
2. Select “My Reports Tab.”
3. Select Quarterly Performance Reports.
4. Select Program “National Dislocated Worker Grants.”

5. Certified DWG Quarterly Performance Report (QPR) can be pulled by clicking on “Certified” link under “Status.” To view QPRs that still need to be certified, click on the appropriate link under “Not Certified.”
6. Review and/or print DWG QPR.

7. To certify, go to the end of the report by scrolling down the page and see the options for “Certification.”
8. Click “DWG Grantee Report” button to view breakouts of individual DWG grant numbers from DWG QPR.

9. Select the Grant Number to be reviewed from the “DWG Grant Number” drop down box.